Amendment under 37 C.F.R. §1.111

Application No.: 10/524,688

Art Unit: 3746

Attorney Docket No.: 052078

**REMARKS** 

Reconsideration of this application, as presently amended, is respectfully requested.

Claims 1, 5-6 and 10-16 are now pending in the present application. Claims 3-4 and 8-9 have

been cancelled and new claims 12-16 have been added by the present Amendment. Claims 1, 3-6

and 8-11 were rejected.

Claim Rejections - 35 U.S.C. § 103

Claims 1, 3-6 and 8-11 were rejected under 35 U.S.C. §103(a) as being unpatentable over

Uchida et al. (USP 4,664,601, previously cited) in view of Sakagami et al. (USP 5,961,291,

previously cited). For the reasons set forth in detail below, this rejection is respectfully traversed.

Initially, it is noted that independent claims 1, 6 and 11 have been amended to clarify

aspects of the invention. More particularly, claims 1, 6 and 11 have been amended to clarify that

the pump rotors are rotated by a motor about their own axes. Moreover, claims 1, 6 and 11 have

been amended to clarify that the pump rotors are driven in a predetermined pattern comprising a

pattern of driving said pump rotors in the order of rotation in a forward direction, stop of the

rotation, and rotation in the forward direction.

First, it is respectfully submitted that neither Uchida nor Sakagami discloses or suggests

removing deposited products by a device having "a motor configured to rotate said pump rotors

about their own axes...in accordance with a predetermined pattern," as recited in claim 1, or a

method of "rotating said pump rotors about their own axes by a motor in accordance with a

predetermined pattern," as recited in claims 6 and 11. Uchida discloses a typical positive-

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displacement vacuum pump, but does not teach removing deposited products by rotating the

pump rotors about their own axes in a predetermined pattern. Sakagami discloses a turbo

vacuum pump and teaches scraping off deposited reaction products by displacing a rotor by

magnetic bearings, but does not teach removing deposited products by rotating the pump rotors

about their own axes.

The Examiner asserts, "since the magnetic bearing rotates the shaft, it is considered a

motor" (see lines 1-4 of the Response to Arguments on page 5 of the Office Action). However,

from the technical viewpoint, the magnetic bearing cannot rotate the shaft about its own axis

because the magnetic bearing supports the shaft without physical contact. A person of ordinary

skill in the art would not consider the magnetic bearing as a motor.

The magnetic bearing of Sakagami is designed to displace a rotor only in a radial

direction or an axial direction, but cannot rotate the rotor about its own axis, because the

magnetic bearing supports the rotor without physical contact. The attached "Explanatory Figure"

illustrates the manner of displacing the rotor. If a foreign substance (reaction product) exists

between the rotor and the stator, when the magnetic bearing causes the rotor to perform a

displacement, the rotor is rotated about its own axis upon contact with the foreign substance, as

shown in the figure. This is because the rotor, levitated by the magnetic bearing, is completely

free to rotate about its own axis. Since the rotor is rotated freely by the contact with the foreign

substance, the rotor cannot scrape off the foreign substance positively.

Second, it is respectfully submitted that Sakagami does not provide any motivation to

use a motor for removing the reaction product. In particular, the turbo vacuum pump of

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Sakagami includes both the magnetic bearing and the motor. However, Sakagami teaches use

of the magnetic bearing to remove the reaction product, rather than the motor. In this regard,

Sakagami describes, in column 8, lines 56 to 59, "the magnetic bearings are generating a

sufficient floating force, but the torque of the motor for driving the rotor may be so low that it

cannot accelerate the rotor". This description implicitly denies use of the motor for removing the

reaction product.

Moreover, it is respectfully submitted that there is no reasonable rationale for combining

the references. More particularly, while both the positive-displacement vacuum pump of Uchida

and the turbo vacuum pump of Sakagami are vacuum pumps, these two types of vacuum pumps

differ greatly in principle of operation and structure. For example, the turbo vacuum pump

typically has the magnetic bearing for supporting the rotor. However, the positive-displacement

vacuum pump cannot use the magnetic bearing, because a pair of rotors is arranged with a slight

gap therebetween and the rotors must be supported rigidly. In the present case, the technique of

removing the reaction product by the displacement of the rotor using the magnetic bearing as

disclosed by Sakagami cannot be applied to the positive-displacement vacuum pump because

they are completely different in structure.

Finally, it is respectfully submitted that neither Uchida nor Sakagami discloses or

suggests rotating the pump rotors in the order of the forward direction, stop of the rotation, and

the forward direction, as presently recited in the independent claims.

A rejection under §103 requires that the combination of teachings applied against the

claims must disclose, suggest or render obvious all elements recited in the claims. As discussed

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above, it is respectfully submitted that the combination of Uchida and Sakagami does not

disclose, suggest or render obvious all elements recited in independent claims 1, 6 and 11.

Accordingly, it is submitted that each of claims 1, 6 and 11, and claims dependent therefrom,

patentably distinguish over the combination of Uchida and Sakagami. Reconsideration and

withdrawal of the rejection under §103 are respectfully requested for at least the reasons

discussed above.

Further, as indicated in KSR International v. Teleflex Inc., 127 S. Ct. 1727, 1741 (2007),

"[R]ejections on obviousness grounds cannot be sustained by mere conclusory statements;

instead, there must be some articulated reasoning with some rational underpinning to support the

legal conclusion of obviousness." That is, an Examiner must "identify a reason that would have

prompted a person of ordinary skill in the relevant field to combine the elements in the way the

claimed new invention does".

The Examiner's rationale to support the combination of references is that it would make

the pump of Uchida more efficient (see page 3, Item 5 of the present Office Action). However,

as discussed above, the technique of removing the reaction product by the displacement of the

rotor using the magnetic bearing as disclosed by Sakagami cannot be applied to the positive-

displacement vacuum pump because these two pumps are completely different in structure.

Therefore, it is respectfully submitted that the given rationale for combining the references is

unsupported by the technology of the references and is therefore inadequate. Accordingly, the

rejection under §103 is improper for this additional reason.

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New Claims

New claims 12-16 have been added by the present Amendment. New independent claim

12 recites "a motor configured to rotate said pump rotors about their own axes...in accordance

with a predetermined pattern when said vacuum pump is started". New independent claims 14

and 16 recite "rotating said pump rotors about their own axes by a motor in accordance with a

predetermined pattern when said vacuum pump is started". It is submitted that these features are

not disclosed or suggested by the cited references for the same reasons set forth above with

respect to independent claims 1, 6 and 11.

**CONCLUSION** 

In view of the foregoing, it is submitted that all pending claims are in condition for

allowance. A prompt and favorable reconsideration of the rejection and an indication of

allowability of all pending claims are earnestly solicited.

If the Examiner believes that there are issues remaining to be resolved in this application,

the Examiner is invited to contact the undersigned attorney at the telephone number indicated

below to arrange for an interview to expedite and complete prosecution of this case.

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If this paper is not timely filed, Applicants respectfully petition for an appropriate extension of time. The fees for such an extension or any other fees that may be due with respect to this paper may be charged to Deposit Account No. 50-2866.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

William M. shlutt

William M. Schertler

Attorney for Applicants Registration No. 35,348

Telephone: (202) 822-1100 Facsimile: (202) 822-1111

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Attachments: Explanatory Figure

## Sakagami (USP 5,961,291)

